



Receipt  
10/2

PATENT  
Attorney Docket No. UCSD1370-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Carson and Albani  
Application No.: 09/616,247  
Filed: July 14, 2000  
Title: VACCINE COMPOSITIONS AND METHODS USEFUL IN INDUCING  
IMMUNE PROTECTION AGAINST ARTHRITOTOGENIC PEPTIDES  
INVOLVED IN THE PATHOGENESIS OF RHEUMATOID  
ARTHRITIS

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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JUN 24 2002

TECH CENTER 1600/2900

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in connection with the above-identified patent application, please find the following:

- (1) Request for Corrected Filing Receipt; (2 pgs)
- (2) Copy of Filing Receipt reflecting changes; (4 pgs)
- (3) Copy of Preliminary Amendment; (1pg) and
- (4) Return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, 6-6-02, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

Yunia Wajdi  
(Name of Person mailing Paper)

Yunia Wajdi  
(Signature of Person Mailing Paper)

6-6-02  
Date

In re Application of:  
Carson and Albani  
Application No.: 09/616,247  
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Page 2

PATENT  
Atty Docket No.: UCSD1370-5

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Date: June 6, 2002

Richard J. Imbra  
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**USPTO CUSTOMER NO. 28213**

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PATENT  
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**REQUEST FOR CORRECTION OF FILING RECEIPT TECH CENTER 1600/2900**

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

In the Continuing Data:

Please delete the first line, delete second line and replace with **--THIS APPLICATION IS A DIV OF 09/107,615 6/30/98 PAT 6,153,200--**.

Docket No.:

Please change the Docket No. to read as follows **--UCSD1370-5--**.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>6-6-02</u> , in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231	
<u>Yiniz Najar</u> (Name of Person mailing Paper)	
<u>Yiniz Najar</u> (Signature of Person Mailing Paper)	6-6-02 Date

In re Application of:  
Carson and Albani  
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Page 2

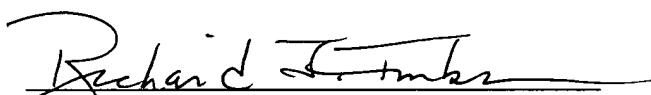
PATENT  
Atty Docket No.: UCSD1370-5

Applicants accordingly requests the correction be made of record.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: June 6, 2002

  
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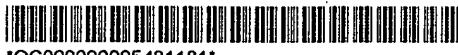
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/616,247	07/14/2000	1645	345	30448.80USD2	5	16	1

Karen S Canady  
 Gates & Cooper  
 6701 Center Drive West Suite 1050  
 Howard Hughes Center  
 Los Angeles, CA 90045

## CORRECTED FILING RECEIPT



OC000000005481181\*

Date Mailed: 10/17/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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## Applicant(s)

JUN 24 2002

Dennis A. Carson, Del Mar, CA ;  
 Salvatore Albani, Encinitas, CA ;

TECH CENTER 1600/2900

## Continuing Data as Claimed by Applicant

~~THIS APPLICATION IS A CIP OF 08/246,988 05/20/1994 ABN~~ ~~153,200~~  
~~WHICH IS A DIV OF 09/107,615 06/30/1998 PAT 5,773,570~~  
~~WHICH IS A DIV OF 08/618,464 03/15/1996 PAT 5,773,570~~  
~~WHICH IS A CIP OF 08/246,988 05/20/1994 ABN~~

## Foreign Applications

If Required, Foreign Filing License Granted 09/19/2000

\*\* SMALL ENTITY \*\*

## Title

Vaccine compositions and methods useful in inducing immune protection against arthritogenic peptides involved in the pathogenesis of rheumatoid arthritis

## Preliminary Class

424

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Data entry by : MEREDITH, WANDA

Team : OIPE

Date: 10/17/2000





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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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